

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): LUSKY, Eli et al. EXAMINER: HO, H.
SERIAL NO.: Not Yet Assigned GROUP ART UNIT: 2818
FILED: Herewith Attorney Docket No.: P-4006-US1
FOR.: METHOD FOR ERASING A MEMORY CELL

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes Form PTO-1449:

1. listing documents including patents, publications, and other information for consideration by the Examiner, copies of which are included with this information disclosure statement;
2. listing documents including patents, publications and other information that have been previously cited or submitted to the Patent Office in prior application U.S. Serial No. 09/983,510, filed October 24, 2001 which is properly identified and relied on for an earlier effective filing date under 35 U.S.C. 120 for consideration by the Examiner; however, in accordance with 37 C.F.R. 1.98(d), copies of such documents marked with an asterisk are not included in this information disclosure statement; and/or
3. listing other information for the Examiner's consideration which was cited in a communication from a foreign patent office in a counterpart foreign application, a copy of which is included with this information disclosure statement.

The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the

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listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

I) Within three (3) months of filing the subject Application or entry of the subject Application into the national stage or before mailing of the first Office Action on the merits of the subject Application or a request for continued examination thereof, whichever event occurs last pursuant to of 37 C.F.R §1.97 (b); or

II) After the period specified in (I) but before the mailing date of either a final Official Action under 37 C.F.R §1.113 or a notice of allowance under 37 C.F.R §1.311 whichever occurs first and;

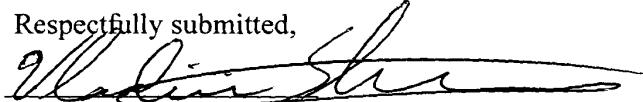
1. the undersigned hereby states that each item of information listed on the Form PTO-1449 was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement or (ii) not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, not known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this information disclosure statement; or

2. the undersigned hereby authorizes the Patent Office to charge the fee in the amount of \$180.00 under 37 C.F.R §1.17 (p) to Deposit Account 05-0649.

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- III) After the period in (I) and (II) but before the payment of the issue fee and,
1. The undersigned hereby states:
 - a) that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or
 - b) that no items of information contained in Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; and
 2. The undersigned hereby authorizes the Patent Office to charge the Petition fee in the Amount of \$180.00 under 37 C.F.R. §1.17 (p) to Deposit Account 05-0649.

Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 05-0649.

Respectfully submitted,

Vladimir Sherman
Attorney for Applicant(s)
Registration No. 43,116

Dated: September 3, 2003

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Tel: (212) 632-3480
Fax: (212) 632-3490

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Not Yet Assigned
				Filing Date	Herewith
				First Named Inventor	LUSKY, Eli
				Group Art Unit	2818
				Examiner Name	HO, H.
Sheet	1	of	8	Attorney Docket Number	P-4006-US1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AA*	3,895,360			Cricchi et al.	7/15/1975	
AB*	4,016,588			Ohya et al.	4/5/1977	
AC*	4,017,888			Christie et al.	4/12/1977	
AD*	4,151,021			McElroy	4/24/1979	
AE*	4,173,766			Hayes	11/6/1979	
AF*	4,173,791			Bell	11/6/1979	
AG*	4,281,397			Neal et al.	7/28/1981	
AH*	4,306,353			Jacobs et al.	12/22/1981	
AI*	4,342,149			Jacobs et al.	8/3/1982	
AJ*	4,360,900			Bate	11/23/1982	
AK*	4,380,057			Kotecha et al.	4/12/1983	
AL*	4,388,705			Sheppard	6/14/1983	
AM*	4,389,705			Sheppard	6/21/1983	
AN*	4,471,373			Shimizu et al.	9/11/1984	
AO*	4,521,796			Rajkanan et al.	6/4/1985	
AP*	4,527,257			Cricchi	7/2/1985	
AQ*	4,586,163			Koike	4/29/1986	
AR*	4,630,085			Koyama	12/16/1986	
AS*	4,667,217			Janning	5/19/1987	
AT*	4,742,491			Liang et al.	5/3/1988	
AU*	4,780,424			Holler et al.	10/25/1988	
AV*	4,847,808			Kobatake	7/11/1989	
AW*	4,870,470			Bass Jr. et al.	9/26/1989	
AX*	4,916,671			Ichiguchi	4/10/1990	
AY*	4,941,028			Chen et al.	7/10/1990	
AZ*	5,021,999			Kohda et al.	6/4/1991	
BA*	5,075,245			Woo et al.	12/24/1991	
BB*	5,117,389			Yiu	5/26/1992	
BC*	5,159,570			Mitchell et al.	10/27/1992	
BD*	5,168,334			Mitchell et al.	12/1/1992	
BE*	5,172,338			Mehrotra et al.	12/15/1992	
BF*	5,175,120			Lee	12/29/1992	
BG*	5,214,303			Aoki	5/25/1993	
BH*	5,241,497			Komarek	8/31/1993	

Examiner Signature	Date Considered
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BI*	5,260,593			Lee	11/9/1993	
BJ*	5,268,861			Hotta	12/7/1993	
BK*	5,289,412			Frary et al.	2/22/1994	
BL*	5,293,563			Ohta	3/8/1994	
BM*	5,295,108			Higa	3/15/1994	
BN*	5,305,262			Yoneda	4/19/1994	
BO*	5,311,049			Tsuruta	5/10/1994	
BP*	5,315,541			Harari et al.	5/24/1994	
BQ*	5,338,954			Shimoji	8/16/1994	
BR*	5,345,425			Shikatani	9/6/1994	
BS*	5,349,221			Shimoji	9/20/1994	
BT*	5,350,710			Hong et al.	9/27/1994	
BU*	5,359,554			Odake et al.	10/25/1994	
BV*	5,393,701			Ko et al.	2/28/1995	
BW*	5,394,355			Uramoto et al.	2/28/1995	
BX*	5,399,891			Yiu et al.	3/21/1995	
BY*	5,412,601			Sawada et al.	5/2/1995	
BZ*	5,414,693			Ma et al.	5/2/1995	
CA*	5,418,176			Yang et al.	5/23/1995	
CB*	5,418,743			Tomioka et al.	5/23/1995	
CC*	5,422,844			Wolstenholme et al.	6/6/1995	
CD*	5,424,978			Wada et al.	6/13/1995	
CE*	5,426,605			Van Berkel et al.	6/20/1995	
CF*	5,434,825			Harari	7/18/1995	
CG*	5,440,505			Fazio et al.	8/8/1995	
CH*	5,450,341			Sawada et al.	9/12/1995	
CI*	5,450,354			Sawada et al.	9/12/1995	
CJ*	5,455,793			Amin et al.	10/3/1995	
CK*	5,467,308			Chang et al.	11/14/1995	
CL*	5,477,499			Van Buskirk et al.	12/19/1995	
CM*	5,495,440			Asakura	2/27/1996	
CN*	5,518,942			Shrivastava	5/21/1996	
CO*	5,521,870			Ishikawa	5/28/1996	

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		Number	Kind Code ² (if known)			
CP*	5,523,251			Hong	6/4/1996	
CQ*	5,523,972			Rashid et al.	6/4/1996	
CR*	5,553,018			Wang et al.	9/3/1996	
CS*	5,563,823			Yiu et al.	10/8/1996	
CT*	5,583,808			Brahmbhatt	12/10/1996	
CU*	5,599,727			Hakozaki et al.	2/4/1997	
CV*	5,623,438			Guritz et al.	4/22/1997	
CW*	5,654,568			Nakao	8/5/1997	
CX*	5,656,513			Wang et al.	8/12/1997	
CY*	5,661,060			Gill et al.	8/26/1997	
CZ*	5,683,925			Irani et al.	11/4/1997	
DA*	5,712,814			Fratin et al.	1/27/1998	
DB	5,715,193			Norman	2/3/1998	
DC*	5,726,946			Yamagata et al.	3/10/1998	
DD*	5,751,037			Aozasa et al.	5/12/1998	
DE*	5,754,475			Bill et al.	5/19/1998	
DF*	5,768,192			Eitan	6/16/1998	
DG	5,768,193			Lee et al.	6/16/1998	
DH*	5,777,919			Chi-Yung et al.	7/7/1998	
DI*	5,784,314			Sali et al.	7/21/1998	
DJ*	5,787,036			Okazawa	7/28/1998	
DK*	5,793,079			Georgescu et al.	8/11/1998	
DL*	5,812,449			Song	9/22/1998	
DM*	5,825,686			Schmitt-Landsiedel et al.	10/20/1998	
DN*	5,834,851			Ikeda et al.	11/10/1998	
DO*	5,836,772			Chang et al.	11/17/1998	
DP*	5,841,700			Chang	11/24/1998	
DQ*	5,847,441			Cutter et al.	12/8/1998	
DR*	5,862,076			Eitan	1/19/1999	
DS*	5,864,164			Wen	1/26/1999	
DT*	5,870,335			Khan et al.	2/9/1999	
DU*	5,886,927			Takeuchi	3/23/1999	
DV*	5,946,558			Hsu	8/31/1999	
DW*	5,949,728			Liu et al.	9/7/1999	

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		Number	Kind Code ² (if known)			
DX*	5,963,412			En	10/5/1999	
DY*	5,963,465			Eitan	10/5/1999	
DZ	5,946,258			Evertt et al.	8/31/1999	
EA	5,969,993			Takeshima	10/19/1999	
EB*	5,973,373			Krautschneider et al.	10/26/1999	
EC*	5,990,526			Bez et al.	11/23/1999	
ED*	5,991,202			Derhacobian et al.	11/23/1999	
EE*	6,011,725			Eitan	1/4/2000	
EF*	6,018,186			Hsu	1/25/2000	
EG*	6,020,241			You et al.	2/1/2000	
EH*	6,028,324			Su et al.	2/22/2000	
EI*	6,030,871			Eitan	2/29/2000	
EJ*	6,034,403			Wu	3/7/2000	
EK	6,034,896			Ranaweera et al.	3/7/2000	
EL*	6,063,666			Chang et al.	5/16/2000	
EM*	6,097,639			Choi et al.	8/1/2000	
EN*	6,128,226			Eitan et al.	10/3/2000	
EO*	6,134,156			Eitan	10/17/2000	
EP*	6,137,718			Reisinger	10/24/2000	
EQ	6,157,570			Nachumovsky	12/5/2000	
ER*	6,163,048			Hirose et al.	12/19/2000	
ES	6,181,605			Hollmer et al.	1/30/2001	
ET*	6,201,282			Eitan	3/2001	
EU*	6,215,148			Eitan	4/10/2001	
EV	6,215,702			Derhacobian et al.	4/10/2001	
EW	6,256,231			Lavi et al.	7/3/2001	
EX	6,266,281			Derhacobian et al.	7/24/2001	
EY*	6,285,574			Eitan	9/4/2001	
EZ*	6,292,394			Cohen et al.	9/18/2001	
FA*	6,304,485			Harari et al.	10/16/2001	
FB*	6,348,711			Eitan	2/19/2002	
FC*	6,396,741			Bloom et al.	5/28/2002	
FD	6,490,204			Bloom et al.	12/3/2002	
FE	2002/0132436			Eliyahu et al.	9/19/2002	

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Sheet	7	of	8	Attorney Docket Number	
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	GD*	Chan et al., "A True Single-Transistor Oxide-Nitride-Oxide EEPROM Device," IEEE Electron Device Letters, vol. EDL-8, No. 3, Mar. 1987.			<input type="checkbox"/>
	GE*	Eitan et al., "Hot-Electron Injection into the Oxide in n-Channel MOS Devices," IEEE Transactions on Electron Devices, vol. ED-38, No. 3, pp. 328-340, Mar. 1981.			<input type="checkbox"/>
	GF*	Roy, Anirban "Characterization and Modeling of Charge Trapping and Retention in Novel Multi-Dielectric Nonvolatile Semiconductor Memory Device," Doctoral Dissertation, Sherman Fairchild Center, Department of Computer Science and Electrical Engineering, pp. 1-35, 1989.			<input type="checkbox"/>
	GG*	"2 Bit/Cell EEPROM Cell Using Band-To-Band Tunneling For Data Read-Out," IBM Technical Disclosure Bulletin, US IBM Corp. NY Vol. 35, No 4B, ISSN: 0018-88689, September, 1992.			<input type="checkbox"/>
	GH*	Hsing-Huang Tsent et al. "Thin CVD Gate Dielectric for USLI Technology", IEEE, 0-7803-1450-6, 1993.			<input type="checkbox"/>
	GI*	Pickar, K.A., "Ion Implementation in Silicon," Applied Solid State Science, Vol. 5, R. Wolfe Edition, Academic Press, New York, 1975.			<input type="checkbox"/>
	GJ*	Bruno Ricco, "Nonvolatile Multilevel Memories for Digital Application", IEEE, vol. 86, No. 12, issued Dec. 1998, pp. 2399-2421.			<input type="checkbox"/>
	GK*	Chang, J., "Non Volatile Semiconductor Memory Devices," Proceeding of the IEEE, Vol. 64 No. 7, Issued July 1976.			<input type="checkbox"/>
	GL*	Ma et al., "A dual-bit Split-Gate EEPROM (DSG) Cell in Contactless Array for Single-Vcc High Density Flash Memories", IEEE, pp. 3.5.1-3.5.4, 1994.			<input type="checkbox"/>
	GM*	Oshima et al., "Process and Device Technologies for 16Mbit Eproms with Large – Tilt – Angle Implemented P-Pocket Cell," IEEE, CH2865-4/90/0000-0095, pp. 5.2.1 – 5.2.4, 1990.			<input type="checkbox"/>
	GN*	Lee, H., "A New Approach For the Floating-Gate MOS NonVolatile Memory", Applied Physics Letters, Vol. 31, No. 7, pages 475-6, October 1977.			<input type="checkbox"/>
	GO*	Bhattacharyya et al., "FET Gate Structure for Nonvolatile N-Channel Read-Only Memory Devices," IBM Technical Disclosure Bulletin, US IBM Corp. Vol. 18, No. 6, page 1768, 1976.			<input type="checkbox"/>

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				Application Number	Not Yet Assigned
				Filing Date	Herewith
				First Named Inventor	LUSKY, Eli
				Group Art Unit	2818
				Examiner Name	HO, H.
Sheet	8	of	8	Attorney Docket Number	
				P-4006-US1	

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	GP*	Bude et al., <u>"EEPROM/Flash Sub 3.0V Drain –Source Bias Hot Carrier Writing"</u> , IEDM 95, pp. 989-992.	<input type="checkbox"/>
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	GR*	Bude et al., <u>"Modeling Nonequilibrium Hot Carrier Device Effects"</u> , Conferences of Insulator Specialists of Europe, Sweden, June, 1997.	<input type="checkbox"/>
	GS*	Eitan, US Patent Application No. 08/905,286, filed August 1, 1997	<input type="checkbox"/>
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